

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Michael KOMOWSKI
Application No.: 10/583,967 Filed: 11/23/2004
Patent No.: _____ Issue Date: _____
Docket Number: 016906-0518
Entitled: AIR FLOW REGULATION DEVICE, ESPECIALLY FOR THE AIR CONDITIONING
SYSTEM OF A MOTOR VEHICLE
BEHR GmbH & CO. KG
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university,
government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title, and interest
The extent (by percentage) of its ownership interest is %

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel/Frame ASSMT_REEL_FRAME or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:


1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
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3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.


Signature

August 16, 2006

Date

Richard L. Schwaab

(202) 672-5414

Printed or Typed Name

Telephone Number

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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U.S. Rights--Sole or Joint -
International Application
Designating the U.S.

International Application No. : PCT/EP2004/013268

Attorney Docket No. : 016906-0518

and/or further identified as: U.S. Serial No. : _____

ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto

*name and
address of
assignee*

*BEHR GmbH & CO. KG
Mauserstrasse 3, D-70469 Stuttgart
Federal Republic of Germany*

COPY

(hereinafter ASSIGNEE) all right, title and interest for the United States, its territories and possessions in and to this invention relating to

title of invention

AIR FLOW REGULATION DEVICE, ESPECIALLY FOR THE AIR CONDITIONING
SYSTEM OF A MOTOR VEHICLE

as set forth in this International Application designating the United States and/or this United States Patent Application as a National Stage application or otherwise claiming the benefit of the International Application

*Check at
least one*

- ☐ executed concurrently herewith
☐ executed on _____
☐ U.S. Serial No. _____ Filed _____
☒ International Application No. PCT/EP2004/013268 file November 23, 2004

in and to said International Application designating the United States and/or United States Patent Application, including any and all divisions or continuations thereof, and in and to any and all Letters Patent of the United States which may issue on any such application or for said invention, including any and all reissues or extensions thereof, to be held and enjoyed by said ASSIGNEE, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made;

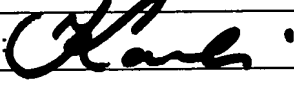
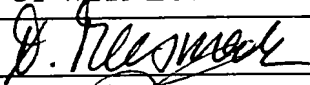

Each of the undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters Patent to said ASSIGNEE, its successors or assigns in accordance herewith;

Each of the undersigned warrants and covenants that he or she has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he or she has not executed and will not execute any document or instrument in conflict herewith;

International Application No. : PCT/EP2004/013268
Attorney Docket No. : 016906-0518

Each of the undersigned further covenants and agrees he or she will communicate to said ASSIGNEE, its successors, legal representatives or assigns all information known to him or her relating to said invention or patent application and that he or she will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said ASSIGNEE, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions and continuations thereof and to any and all Letters Patent which may be granted therefor or thereon, including reissues or extensions, in said ASSIGNEE, its successors, or assigns or to assist said ASSIGNEE, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent of the United States for said invention;

Each of the undersigned hereby grants the firm of **FOLEY & LARDNER LLP** the power to insert in this Assignment any further information, such as an application number, which may be necessary or desirable to further identify the application in compliance with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

NAMES AND SIGNATURES OF INVENTORS		
Name: Michael KOMOWSKI	Signature: 	Date: 06.08.01
Name:	Signature:	Date:
Name:	Signature:	Date:
Name:	Signature:	Date:
Name: E	Signature:	Date:
NAMES AND SIGNATURES OF WITNESSES		
Name: Dieter MESSNARZ	Signature: 	Date: 3. AU 2006
Name: Sandra BAUER	Signature: 	Date: 3. AU 2006

Note: *Prima facie* evidence of execution may optionally be obtained by execution of this document before a U.S. Consul or before a local officer authorized to administer oaths whose authority is proved by a certificate from a U.S. Consul.